

COMPLIANCE

PI Last name

Sponsor Name

Proposal Dev. #:

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ANIMAL WELFARE COMPLIANCE

1. Work being conducted at Rutgers or Elsewhere

Are **all** elements of the live vertebrate animal work that are conducted by the principal investigator or their research team, whether at Rutgers or elsewhere, approved within the scope of an approved Rutgers protocol?

	Protocol #	Approval/Expiration dates
Yes		

Additional protocols are associated with the project and are listed on an attachment.

List the title of the protocol(s) *if it is different* from that of the project:

No, but an amendment will be filed to an existing animal protocol. Approval is considered pending. List the relevant protocol number:

No, but a protocol will be submitted in accordance with the protocol submission schedule. It is considered pending.

2. Animal Work Being Conducted in a Foreign Country

Is animal work being conducted in a foreign country, whether or not you are directly involved in the research?

Yes, complete information below	No
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- a. The country
- b. Name of institution
- c. Foreign assurance number
- d. Provide the Rutgers approved protocol number which details this work

3. Subcontract Work (including custom work, e.g. antibody production)

Is animal work being conducted under a subcontract?

Yes, complete information below	No
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- a. List the institution being subcontracted
- b. List the subcontracted institution's assurance number
- c. List the subcontracted institution's protocol number
- d. List the approval date
- e. Attached is the Notice of Approval: Yes No

HUMAN SUBJECTS COMPLIANCE

1. Work being conducted at Rutgers or Elsewhere

Are **all** elements of the research involving human participants conducted by the principal investigator or their research team, whether at Rutgers or elsewhere, approved within the scope of an approved Rutgers protocol?

	Protocol #	Approval/Expiration dates
Yes		

Additional protocols are associated with this project and are listed on an attachment.

List the title of the protocol *if it is different* from that of the project:

No, but an amendment will be filed in an existing human subjects protocol. Approval is considered pending. List the relevant protocol number.

No, but a protocol will be submitted in accordance with the protocol submission schedule. It is considered pending.

2. Human Subjects Work Being Conducted in a Foreign Country

Is research involving human participants conducted in a foreign country, whether or not you are directly involved in the research?

Yes, complete information below	No
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- a. The country
- If applicable:*
- b. Name of institution
- c. Foreign assurance number
- d. Provide the Rutgers approved protocol number that details this work

3. Subcontract Work

Is research involving human participants conducted under a subcontract?

Yes, complete information below	No
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- a. List the institution being subcontracted
- b. List the subcontracted institution's assurance number
- c. List the subcontracted institution's protocol number
- d. List the approval date
- e. Attached is the Notice of Approval: Yes No

4. Human Subjects Certification

- a. Have all key personnel involved in the design or conduct of the research working on this grant with human subjects, under a Rutgers approved protocol, completed the Rutgers Human Subjects Certification Program? Yes No
- b. Have all key personnel working on this grant with human participants through a subcontract taken a human subjects certification test (either at Rutgers University or at the subcontracting institution)? Yes No

CONTROLLED SUBSTANCES

If not already done so, investigators using controlled substances must complete the controlled substance form found at <http://orsp.rutgers.edu/cs>.

1. List the names of the controlled substances which will be used.

2. a. List federal DEA registration number:

b. List NJ CDS registration number:

c. Name of registration holder for "a" and "b":

HUMAN EMBRYONIC STEM CELL RESEARCH

Are all aspects of the human embryonic stem cell research covered by an Embryonic Stem Cell Research Oversight protocol?

Yes

No, complete the ESCRO application found at <http://cord.rutgers.edu/stemcellcourse/GuidelinesforhESCResearch.p>

RUTGERS ENVIRONMENTAL HEALTH AND SAFETY

1. Does the project involve the use of class III or IV lasers, ionizing radiation producing devices and/or radioactive materials, chemical carcinogens or other toxic or hazardous chemicals?

Yes, Approval on file

Pending approval, complete and attach the REHS form found at <http://orsp.rutgers.edu/formsAndinstructions.asp>

2. Are all aspects of any work with biohazardous microorganisms or agents, recombinant DNA molecules, or select agents, approved by the Biosafety Committee?

Yes, list the title of the approved Biosafety protocol.

No, complete the Biological Safety protocol found at http://rehs.rutgers.edu/lbio_forms.htm